

APPENDIX A

NON-COMPLIANCE WITH FINANCIAL REGULATIONS - NON-COMPLIANT INVOICES (JUNE & JULY 2016)

Service Area	NON-COMPLIANT INVOICES PROCESSED				Non-compliance by service area	
	Jun-16	Jul-16	Total Invoices	% of Total N/C Invoices	Invoices Generated by Service	% of Service's Invoices Non Compliant
Transport	42	44	86	28.10%	354	24.29%
Environmental Services	15	18	33	10.78%	118	27.97%
Culture, Leisure & Community Empowerment	9	17	26	8.50%	68	38.24%
Asset Management	12	6	18	5.88%	238	7.56%
Revenues & Customer Services	12	9	21	6.86%	46	45.65%
Planning	9	12	21	6.86%	60	35.00%
Community Protection	7	9	16	5.23%	28	57.14%
Electoral Services	11	3	14	4.58%	21	66.67%
Legal Services	3	7	10	3.27%	10	100.00%
Markets	6	4	10	3.27%	15	66.67%
Strategic Housing	4	6	10	3.27%	17	58.82%
Waste	5	5	10	3.27%	27	37.04%
Environmental Health	5	1	6	1.96%	16	37.50%
HR & Payroll	2	3	5	1.63%	8	62.50%
IT	1	0	1	0.33%	17	5.88%
CEO	2	1	3	0.98%	6	50.00%
Capital - Economy	2	0	2	0.65%	20	10.00%
Capital - Environment	2	1	3	0.98%	8	37.50%
Plan, Perform & Improvement	1	1	2	0.65%	3	66.67%
Business Contingency	1	1	2	0.65%	24	8.33%
Capital - Corporate	2	0	2	0.65%	14	14.29%
Property Management	0	2	2	0.65%	34	5.88%
Service Director - Economy	2	0	2	0.65%	6	33.33%
Housing Revenue	0	1	1	0.33%	27	3.70%
Communications	0	0	0	0.00%	4	0.00%
Finance	0	0	0	0.00%	12	0.00%
Strategic Projects & Trust Development	0	0	0	0.00%	1	0.00%
Democratic Services	0	0	0	0.00%	0	0.00%
Totals	155	151	306	100.00%	1,202	25.46%

PREVIOUSLY REPORTED TO AUDIT COMMITTEE - DECEMBER 2015

Service Area	NON-COMPLIANT INVOICES PROCESSED					Non-compliance by service area		% Change on Previously Reported
	Jul-15	Aug-15	Sep-15	Total Invoices	% of Total N/C Invoices	Invoices Generated by Service	% of Service's Invoices Non Compliant	
	118	84	84	286	40.23%	382	74.87%	50.58%
	28	21	28	77	10.83%	204	37.75%	9.78%
	14	13	8	35	4.92%	73	47.95%	9.71%
	39	20	15	74	10.41%	414	17.87%	10.31%
	13	9	11	33	4.64%	52	63.46%	17.81%
	9	3	8	20	2.81%	61	32.79%	-2.21%
	9	6	6	21	2.95%	52	40.38%	-16.76%
	3	3	6	12	1.69%	14	85.71%	19.05%
	10	3	4	17	2.39%	32	53.13%	-46.88%
	6	4	3	13	1.83%	16	81.25%	14.58%
	6	2	5	13	1.83%	38	34.21%	-24.61%
	5	5	4	14	1.97%	37	37.84%	0.80%
	5	5	6	16	2.25%	38	42.11%	4.61%
	4	2	4	10	1.41%	13	76.92%	14.42%
	8	1	3	12	1.69%	30	40.00%	34.12%
	2	2	1	5	0.70%	8	62.50%	12.50%
	2	2	0	4	0.56%	5	80.00%	13.33%
	1	3	2	6	0.84%	18	33.33%	25.00%
	7	4	5	16	2.25%	62	25.81%	19.92%
	3	5	0	8	1.13%	37	21.62%	17.92%
	0	3	1	4	0.56%	5	80.00%	80.00%
	7	2	3	12	1.69%	22	54.55%	54.55%
	1	1	1	3	0.42%	13	23.08%	23.08%
	300	203	208	711	100.00%	1,626	43.73%	18.27%

Please Note: Invoices which are automatically sent upon receipt of Order, therefore, Order and invoice dates are the same, have been removed from this total, only for suppliers Finance have been informed about